



NORTH CAROLINA

State Board of Elections & Ethics Enforcement

Volume 21, Issue 4

Judicial Candidate SEI Filing: General Election Edition

June 2018

Certain ***candidates*** for elected office are covered by the State Government Ethics Act, including Justices and Judges. All candidates for these offices must file a 2018 Candidate Statement of Economic Interest (SEI) with the North Carolina State Board of Elections and Ethics Enforcement.

YOUR CANDIDATE SEI

1. **WHO must file a judicial Candidate SEI?** Candidates for Supreme Court, Court of Appeals, Superior Court, and District Court.
2. **WHEN do I file?** Candidate SEI forms will be available from June 13, 2018 through July 9, 2018. Candidate SEIs may be filed from June 18, 2018 through July 9, 2018. Candidates for Supreme Court, Court of Appeals, Superior Court or District Court must file a 2018 Candidate SEI on or by July 9, 2018.

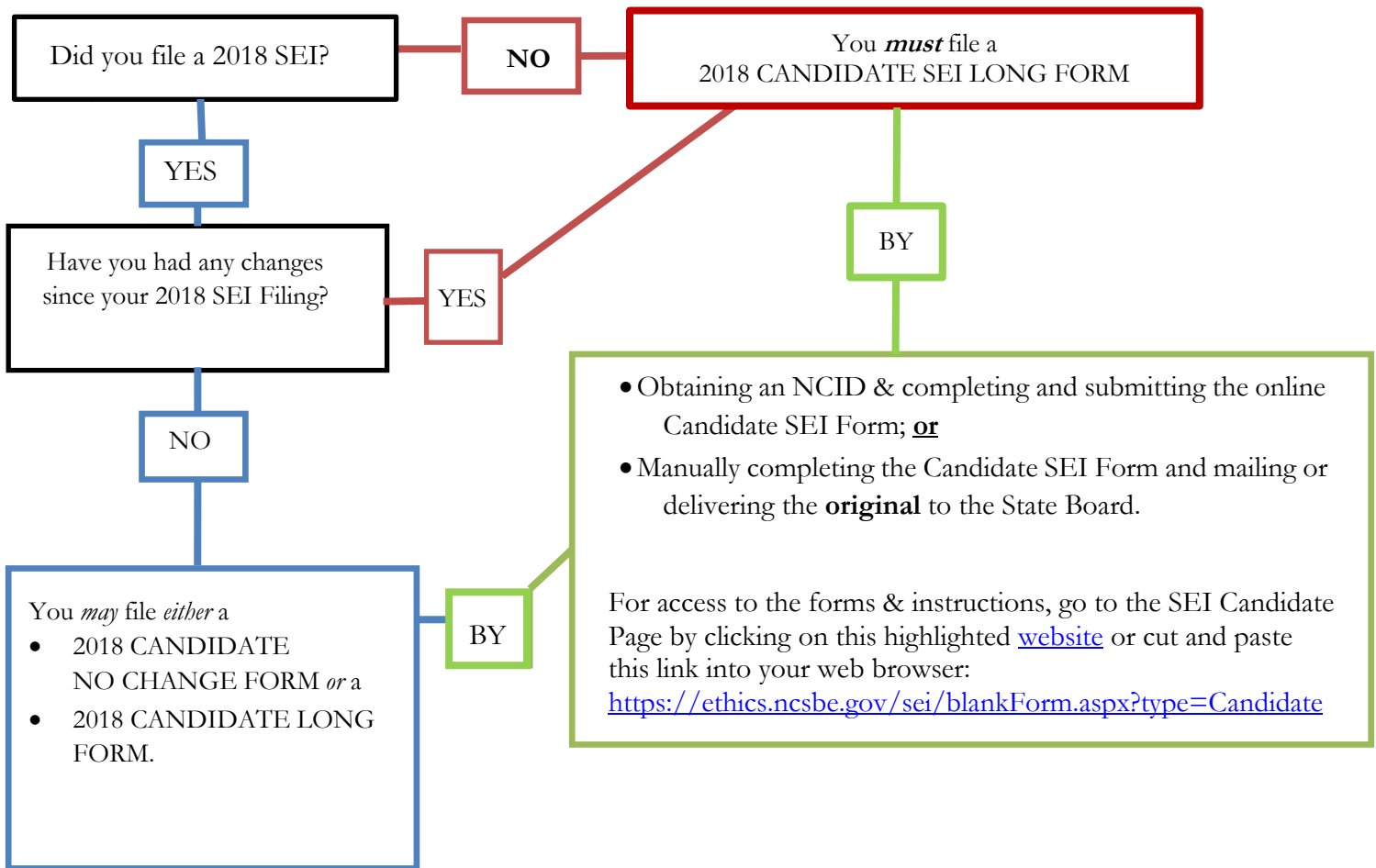
Two SEI filing deadlines for judges and justices who are running for re-election. Incumbent judges were required to file a 2018 Regular Filers SEI by April 16, 2018. If an incumbent judge is also a candidate, after filing a notice of candidacy, those judges must also file a 2018 Candidate SEI on or by July 9, 2018.

Two filing deadlines for non-incumbent judicial candidates who are currently serving in another position that required them to file an SEI. Those candidates were required to file a 2018 Regular Filers SEI by April 16, 2018, for that other position. After filing a notice of candidacy, those candidates must also file a 2018 Candidate SEI on or by July 9, 2018.

3. **WHERE do I file?** Judicial candidates must file the Candidate SEI directly with the State Board of Elections and Ethics Enforcement; **NOT at a County Board of Elections.**
4. **WHAT form do I use?** Use the **2018 CANDIDATE SEI form**. There are two 2018 Candidate SEI forms: a Candidate SEI Long Form and a Candidate SEI No Change form. Please make sure you are filing the correct form and a properly dated CANDIDATE SEI FORM.
5. **YOU MUST FILE THE CANDIDATE SEI LONG FORM IF:**
 - You filed a 2018 SEI Form, but there **have been changes** in the information reported; **or**
 - You did NOT file a 2018 SEI Form.
6. **YOU MAY FILE THE 2018 CANDIDATE SEI NO CHANGE FORM IF:**
 - You filed a 2018 SEI and you have **not** had any changes in the information reported.
7. **HOW do I complete and file the Candidate SEI form?** The State Board encourages you to submit your form electronically through the State Board's online filing [website](#). You will receive immediate confirmation when you have successfully submitted your 2018 Candidate SEI electronically.

Manual Filing: You may also print the form from our [website](#), complete and sign it, and submit it by mail or hand delivery to the State Board. If you file manually, you **MUST** file your original Candidate SEI by mail or hand delivery. Remember to keep a copy for your records. You **CANNOT** file your Candidate SEI by fax or scan and email, and you cannot file a copy of your SEI; **you must file your original Candidate SEI with your original signature on it.**

8. **WHERE DO I GET HELP?** Contact the State Board at (919) 814-3600 and ask for SEI assistance or by e-mail ethics.commission@ncsbe.gov.



FREQUENTLY ASKED QUESTIONS

- 1. If I am a judicial candidate and already covered by the Ethics Subchapter of the Elections and Ethics Enforcement Act (“Ethics Act”) and previously filed a form in 2018, do I still need to file a Candidate SEI on or by July 9, 2018?** Yes. Even if you already filed a 2018 SEI form, you still must file a 2018 Candidate SEI form on or by July 9, 2018, if you are running for a judicial office covered under the Ethics Act.
- 2. Can I fax the Candidate SEI form or file a copy?** No. You **must** file a **signed original** Candidate SEI form or file electronically through our website. No copies, faxes or emails will be accepted. We encourage you to file electronically through our website.
- 3. Should I keep a signed copy?** Yes. We strongly encourage you to keep a signed copy for your records or if you file electronically print a copy and keep your confirmation number that you will receive upon the successful submission of your SEI.
- 4. Is my Candidate SEI a public record?** Yes. All SEIs, including candidate SEIs, are public records.
- 5. Are there fines for late or non-filing?** Yes. The State Board of Elections and Ethics Enforcement may levy a \$250.00 fine for failure to file your Candidate SEI on or by July 9, 2018.
- 6. Are there potential criminal penalties?** Yes. Knowingly concealing or failing to disclose information is a Class 1 misdemeanor. Knowingly providing false information is a Class H felony. In addition, knowingly concealing, failing to disclose, or providing false information may be used in prosecutions by other law enforcement agencies.